### Investigation of the 2006 drought and 2007 flood extremes at the Southern Great Plains through an integrative analysis of observations

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[1] Hydrological years 2006 (HY06; October 2005 to September 2006) and 2007 (HY07; October 2006 to September 2007) provide a unique opportunity to examine hydrological extremes in the central United States because there are no other examples of two such highly contrasting precipitation extremes occurring in consecutive years at the Southern Great Plains (SGP) in recorded history. The HY06 annual precipitation in the state of Oklahoma, as observed by the Oklahoma Mesonet, is around 61% of the normal (92.84 cm, based on the 1921–2008 climatology), which results in HY06 as the second-driest year in the record. In particular, the total precipitation during the winter of 2005–2006 is only 27% of the normal, and this winter ranks as the driest season. On the other hand, the HY07 annual precipitation amount is 121% of the normal, and HY07 ranks as the seventh-wettest year for the entire state and the wettest year for the central region of the state. Summer 2007 is the second-wettest season for the state. Large-scale dynamics play a key role in these extreme events. During the extreme dry period (11/2005–02/2006), a dipole pattern in the 500 hPa geopotential height anomaly existed where an anomalous high was over the southwestern U.S. region and an anomalous low was over the Great Lakes. This pattern is associated with inhibited moisture transport from the Gulf of Mexico and strong sinking motion over the SGP, both contributing to the extreme dryness. The precipitation deficit over the SGP during the extreme dry period is clearly linked to significantly suppressed cyclonic activity over the southwestern United States, which shows a robust relationship with the western Pacific teleconnection pattern. The precipitation events during the extreme wet period (May–July 2007) were initially generated by active synoptic weather patterns, linked with moisture transport from the Gulf of Mexico by the northward low-level jet, and enhanced the frequency of thunderstorms and their associated latent heat release. Although the drought and pluvial conditions are dominated by large-scale dynamic patterns, we have found two possible positive feedback processes during the extreme dry and wet periods in this study that play key certain roles to maintain and reinforce the length and severity of existing drought and flood events. For example, during the extreme dry period, with less clouds, liquid water path, precipitable water vapor, precipitation, and thinner Cu cloud thickness, more net radiation was absorbed and used to evaporate water from the ground. The evaporated moisture, however, was removed by low-level divergence. Thus, with less precipitation and removed atmospheric moisture, more absorbed incoming solar radiation was used to increase surface temperature and to make the ground drier.

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#### 1. Introduction

[2] Drought is the number one weather-related cause of death worldwide and ranks second in the weather-related causes of property damage within the United States during the past three decades [Rauber et al., 2008] (see also UCAR COMET® data at http://meted.ucar.edu/). Drought is defined as "a persistent and abnormal moisture deficiency having adverse impacts on vegetation, animals, or people" by the National Drought Policy Commission and is one of the most complicated but least understood natural hazards. Although quite a few researchers [e.g., Namias, 1978; Trenberth and Branstator, 1992; Trenberth and Guillemot, 1996; Schubert et al., 2004a, 2004b; Seager et al., 2005] have investigated the fundamental causes of persistent droughts and linked the U.S. droughts with strong La Niña conditions in the tropical Pacific, our understanding of drought mechanisms is still limited. These include the physical "triggers" of a drought, dynamics in maintaining drought, and the processes that terminate a drought. Therefore, it remains a challenge for us to predict the onset and demise of a drought.

[3] In contrast to persistent drought, flooding is a natural hazard characterized by heavy precipitation during short time periods. Flooding ranks first among the weather-related causes of property damage in the United States, and it is also the second largest weather-related cause of death worldwide [Rauber et al., 2008; see also UCAR COMET<sup>®</sup> data at http://meted.ucar.edu/]. During recent years, floods, in particular flash floods (heavy rain in a few hours), have caused billions of dollars in property damage within the United States. While floods may be better understood compared to droughts, there are still challenges in their predictability because many factors contribute to the occurrences of floods. Flash floods are often triggered by frontal squall lines in spring and mesoscale convective systems (MCSs) in summer [Rauber et al., 2008]. Therefore, it is necessary to collect both in situ and remotely sensed data with high spatial and temporal resolutions to investigate these intense and short-lived storm complexes. Similar to the La Niña effect on the U.S. droughts, some studies have suggested teleconnections between the U.S. floods and El Niño events in the tropical East Pacific (TEP) [e.g., Trenberth and Branstator, 1992; Trenberth and Guillemot, 1996; Seager et al., 2005]. While considerable efforts have been made to study the droughts and floods, the mechanisms by which extremes can be maintained over multiple years have yet to be established, and relationships between the remote forcing (e.g., TEP sea surface temperatures (SSTs)) and the response (U.S. extremes) have not been well understood [Schubert et al., 2004a, 2004b; Seager et al., 2005].

[4] The U.S. Great Plains experienced a number of major droughts and floods during the last century, most notably the droughts of the 1930s, the 1950s, and 1988 and the floods of 1993 [*Rauber et al.*, 2008; *Schubert et al.*, 2004a, 2004b; *Seager et al.*, 2005]. During hydrological years 2006 (HY06; 1 October 2005 to 30 September 2006) and 2007 (HY07; 1 October 2006 to 30 September 2007), droughts and floods occurred in the U.S. Southern Great Plains (SGP),

respectively. The annual and seasonal precipitation amounts and their severities during HY06 and HY07 from the Oklahoma Climatological Survey are listed in Table 1 and are discussed in section 3.1. There are no other examples of two such highly contrasting hydrological extremes occurring in consecutive years, i.e., a dry year followed by a wet year, and no other more comprehensive data set available in history concerning the droughts and floods at the SGP. There is tremendous diversity of observations that provide a great opportunity for researchers to investigate the causes of the HY06 drought and HY07 pluvial, and their transitional mechanisms over the SGP region.

[5] To investigate the causes and feedback of the two highly contrasting hydrological years and the impacts of large-scale dynamic and moisture transports from the Gulf of Mexico on these extreme events, we have collected multiple data sets from surface and satellite observations, as well as reanalyses. These observational results can serve as a baseline for future modeling studies that aim at simulating the onsets/demises of droughts and floods and the multiple feedback processes involved in the formation of these hydrological extremes. The ground-based observations can also serve as ground truth to validate the satellite retrievals, which will promote broad studies of hydrological extremes using satellite retrievals over the regions without the groundbased observations. Through an integrative analysis of observed extremes, we attempt to answer the following four scientific questions in this study: (1) Are HY06 and HY07 representative of significant drought and pluvial conditions and, if so, how severe and widespread are the effects? (2) How do large-scale dynamics play a role in these extreme events? (3) To what extent are the severities of the drought and flood affected by cloud and surface energy feedback? (4) How are these extreme events linked to the moisture transport from the Gulf of Mexico and cyclonic activity?

#### 2. Data

[6] The data sets listed in Table 2 consist mainly of the Department of Energy Atmospheric Radiation Measurement (ARM) [*Ackerman and Stokes*, 2003] observations at the SGP Central Facility (SCF) (36.6°N, 97.5°W) from January 1997 to December 2007. Other data sets, such as the Oklahoma Mesonet, Version 2 Global Precipitation Climatology Project (GPCP) [*Adler et al.*, 2003], Tropical Rainfall Measuring Mission (TRMM) satellite, National Centers for Environmental Prediction (NCEP) global reanalysis data set, NCEP North American Regional Reanalysis (NARR), and NASA Modern Era Retrospective-Analysis for Research and Applications (MERRA), are also included in this study.

#### 2.1. ARM SCF Ground-Based Observations

[7] The ARM observations used in this study include cloud fraction, cumulus (Cu) cloud thickness, cloud liquid water path (LWP), atmospheric column precipitable water vapor (PWV), precipitation, net radiation, sensible (SH) and latent heat (LH) fluxes, and surface air temperature ( $T_{air}$ ) collected at the ARM SCF during the period 1997–2007.

 Table 1. Seasonal Statistics of Precipitation and Their Severities

 During 2006–2007 From the Oklahoma Climatological Survey<sup>a</sup>

| Seasons            | Percentage of Normal<br>Precipitation <sup>b</sup> (%) | Severities in Oklahoma<br>Historical Record |
|--------------------|--|---|
| HY06 (October 2005 | 61   | 2nd driest                                  |
| to September 2006) |  |   |
| HY07 (October 2006 | 121  | 7th wettest for OK state,                   |
| to September 2007) |  | 1st wettest for central OK                  |
| 2005 SON           | 44   | 13th driest                                 |
| 2005–2006 DJF      | 27   | 1st driest                                  |
| 2006 MAM           | 80   | 23rd driest                                 |
| 2006 JJA           | 75   | 21st driest                                 |
| 2006 SON           | 73   | 32nd driest                                 |
| 2006–2007 DJF      | 123  | 19th wettest                                |
| 2007 MAM           | 117  | 13th wettest                                |
| 2007 JJA           | 168  | 2nd wettest                                 |
| 2007 SON           | 63   | 21st driest                                 |

<sup>a</sup>Oklahoma Climatological Survey data are available at http://climate. mesonet.org/. OK, Oklahoma; SON, September, October, November; DJF, December, January, February; MAM, March, April, May; JJA, June, July, August.

<sup>b</sup>Normal precipitation is the average precipitation (92.84 cm) for the period 1921–2008.

Cloud fraction (CF) is defined by the percentage of returns that are cloudy within a specified sampling period (e.g., a month), i.e., the ratio of the number of hours when radar, lidar, and ceilometer all detected clouds simultaneously to the total number of hours when all measurements were available [Dong et al., 2005, 2006]. Cloud top height ( $Z_{top}$ ) is derived from millimeter wavelength cloud radar (MMCR) [Moran et al., 1998] reflectivity profiles with the uncertainty of 90 m. Cloud base height  $(Z_{base})$  is a composite result of Belfort laser ceilometer, micropluse lidar, and MMCR data [*Clothiaux et al.*, 2000]. Cloud physical thickness ( $\Delta Z$ ) is simply the difference between  $Z_{top}$  and  $Z_{base}$ . The atmospheric PWV and cloud LWP values are retrieved from the microwave radiometer brightness temperatures measured at 23.8 and 31.4 GHz using a statistical retrieval method [Liljegren et al., 2001]. The RMS errors of LWP retrievals are about 20 g m<sup>-2</sup> and 10% for cloud LWP below and above 200 g m<sup>-2</sup>, respectively [*Dong et al.*, 2000; *Liljegren* et al., 2001].

[8] The surface precipitation is measured by tipping bucket rain gauge at the ARM SCF.  $T_{air}$  is measured by the conventional in situ sensors (2 m above ground) mounted on a 10 m tower at the ARM SCF site. The SH, LH, and net radiation fluxes are measured by the ARM SCF energy balance Bowen ratio system. The SH and LH fluxes are calculated from observations of net radiation, soil surface heat flux, and vertical gradients of temperature and relative humidity (RH).

#### 2.2. Other Data Sets

[9] To investigate the spatial variations of precipitation, we have also collected the data sets from the Oklahoma Mesonet system, GPCP, and TRMM over the SGP region. Both GPCP and TRMM data are averaged over a  $5^{\circ} \times 5^{\circ}$  grid box centered on the ARM SCF site. The Oklahoma Mesonet is a statewide monitoring network and consists of over 110 automated weather stations covering the entire state of Oklahoma [*Brock et al.*, 1995]. The Oklahoma Mesonet is a system designed to measure the environment at the size and duration of mesoscale weather events.

[10] The monthly GPCP Version 2 precipitation product is used in this study. This product is produced by merging a variety of satellite and ground precipitation measurements, including passive microwave retrievals from Special Sensor Microwave Imager (SSM/I), infrared-based estimates from geostationary satellites, and gauge observations gridded on a  $2.5^{\circ} \times 2.5^{\circ}$  latitude-longitude scale [*Adler et al.*, 2003]. All of the measurements are combined with inverse error variance weighting to produce the merged analysis. In this study, the monthly GPCP data are averaged over a grid box of  $5^{\circ} \times 5^{\circ}$  latitude-longitude covering  $32.5^{\circ}$ - $37.5^{\circ}$ N,  $100^{\circ}$ W-95°W during 1997-2007. The TRMM cloud and precipitation products are also averaged over the same grid box as GPCP during 1998-2007.

[11] Cloud fraction is derived from a combination of measurements from the TRMM Microwave Imager (TMI) and Visible and Infrared Scanner (VIRS), and precipitation product is the TMI-based TRMM 2A12 rainfall product [*Kummerow et al.*, 2000].

[12] Estimates of radiative heating are obtained from the Hydrologic Cycle and Earth's Radiation Budget (HERB)

Table 2. The Department of Energy ARM SCF Observations and Other Data Sets Used in This Study

| Parameter  | Instruments/Methods                               | Used in the Paper                | References                                     |
|--|---|----------------------------------|--|
| Drought index                                    | Palmer Drought Severity Index                     | Section 3.1; Figure 1            | Alley [1984]                                   |
| Surface precipitation                            | ARM SCF tipping bucket rain gauge                 | Section 3.1; Figure 1            | ARM Web site                                   |
| Surface precipitation                            | GPCP Version 2                                    | Section 3.1; Figure 1            | Adler et al. [2003]                            |
| Surface precipitation                            | Oklahoma Mesonet                                  | Section 3.1; Figures 1 and 2     | Brock et al. [1995]                            |
| CF, moisture flux, and                           | TRMM TMI/VIRS and 2A12                            | Sections 3.1, 3.3, and 3.4;      | Kummerow et al. [2000]                         |
| surface precipitation                            | rainfall product                                  | Figures 1, 7, and 8              |  |
| 500 hPa GH, 925 hPa RH,<br>and low level jet     | NCEP reanalysis                                   | Section 3.2; Figures 3, 4, and 9 | Kalnay et al. [1996]                           |
| Cloud fraction                                   | Radar-lidar observations                          | Section 3.3; Figure 5            | Dong et al. [2006]                             |
| Cumulus cloud thickness                          | Radar-lidar observations                          | Section 3.3; Figure 5            | Clothiaux et al. [2000]                        |
| Cloud LWP and atmospheric PWV                    | Microwave radiometer                              | Section 3.3; Figure 5            | Dong et al. [2000];<br>Liljegren et al. [2001] |
| Latent heat, sensible heat,<br>and net radiation | Energy balance Bowen ratio station                | Section 3.3; Figure 6            | ARM Web site<br>(http://www.arm.gov)           |
| Surface air temperature                          | ARM SCF surface meteorological<br>instrumentation | Section 3.3; Figure 6            | ARM Web site<br>(http://www.arm.gov)           |
| CAI, PNA, and WP index                           | NASA MERRA reanalysis                             | Section 3.4; Figures 10 and 11   | Wallace and Gutzler [1981]                     |

data set [*L'Ecuyer and Stephens*, 2003, 2007]. HERB synthesizes ice cloud microphysical property information from VIRS, liquid cloud properties, precipitation profiles, SST, water vapor retrievals from the TRMM TMI, and vertical profiles of temperature and humidity from European Centre for Medium-Range Weather Forecasts reanalysis to characterize the three-dimensional structure of clouds and precipitation in the atmosphere. Vertical profiles of SW and longwave (LW) radiative heating rates are calculated by a broadband radiative transfer model with the input of this data set [*L'Ecuyer and McGarragh*, 2010].

[13] The NCEP reanalysis is used to investigate the impact of large-scale dynamics on the two extreme periods. It contains outputs of atmospheric variables and fluxes with 4 times daily temporal resolution,  $2.5^{\circ} \times 2.5^{\circ}$  horizontal resolution, and 28 vertical levels [*Kalnay et al.*, 1996]. To study the low-level jet (LLJ), the NARR reanalysis is used because it has a higher spatial (~32 km, 45 layers) and temporal (3 h) resolution [*Messinger et al.*, 2006] than NCEP global reanalysis. The NASA MERRA reanalysis is also used to quantify the winter cyclonic activity in this study. It contains various 6 hourly atmospheric variables on  $1/3^{\circ} \times 2/3^{\circ}$  grids. Together with the NCEP reanalysis, it provides a comprehensive database for diagnosing synoptic conditions over the SGP and examining their variability on seasonal to longer time scales.

[14] With these ground and satellite observations, the moisture conditions of the two hydrological years can be quantified. The Palmer Drought Severity Index (PDSI) is a popular drought-monitoring tool used by scientists and government agencies to determine extreme weather conditions, such as abnormally wet or abnormally dry periods, as well as their onset and demise [Alley, 1984]. This index is based on the principle of a balance between moisture supply and demand and takes into account precipitation, evapotranspiration, and soil moisture conditions. That is, the PDSI uses a simple water balance model as basis for developing a regional drought severity index and does not work for snow or frozen ground. Therefore, caution must be taken when using the PDSI during the snow and frozen months of the year. The PDSI generally ranges from -6 to +6, with negative values denoting dry spells and positive values indicating wet spells.

#### 3. Results and Discussion

[15] In this section, we attempt to address the four scientific questions posed in the beginning. In particular, we will answer question 1 using the NOAA PDSI and four precipitation data sets from ARM, Oklahoma Mesonet, GPCP, and TRMM; question 2 using NCEP; and question 3 using ARM SCF observations. Finally, by diagnosing both the NASA MERRA and NARR reanalyses and TRMM retrievals, we will investigate the linkages between the SGP extremes and the moisture transport from the Gulf Mexico and the winter cyclonic activity.

#### 3.1. Are HY06 and HY07 Representative of Significant Drought and Pluvial Conditions and, If So, How Severe and Widespread Are the Effects?

[16] To study the hydrological extreme events, it is important to have a "benchmark," or the so-called normal

precipitation. The normal precipitation can be a long-term average over a particular area during a certain period. The precipitation anomalies and relative amounts are then calculated against their corresponding normal values. For example, the total of the Oklahoma state mean precipitation during spring 2006 is 23.47 cm, which is about 80% of its normal precipitation. The normal precipitation in this study is the averaged precipitation during 1997–2007 for ARM, Oklahoma Mesonet, and GPCP and during 1998–2007 for TRMM over different grid boxes, such as the point for ARM SCF, the entire Oklahoma state for Oklahoma Mesonet, and  $5^{\circ} \times 5^{\circ}$  for GPCP and TRMM.

[17] Figure 1 shows the monthly state (Oklahoma) mean PDSI, four precipitation products, and their anomalies and percentages relative to their corresponding averages during the period 1997-2007 (except for TRMM from 1998 to 2007). Notice that the PDSI lags the precipitation by 1– 2 months because it has taken into account precipitation, evapotranspiration, and soil moisture conditions. As demonstrated in Figure 1, moderate drought occurred during the period February-June 2005, which is about 40% (the average value from four precipitation data sets) below the normal precipitation, and was then terminated by moderate precipitation during August 2005 (77% above normal). Severe drought started in November 2005 and lasted until February 2006, which is indicated by the roughly 65% below normal precipitation with a maximum deficit of 100%. Thus, we define the period November 2005 to February 2006 as the extreme dry period in this study. Most of 2006 was characterized by persistent dry conditions, slightly below the normal precipitation during fall 2006, and finally changed to a moisture surplus at the end of 2006. In contrast to year 2006, year 2007 was mostly under wet conditions. The period May-July was extremely wet (84% above normal), and a precipitation deficit did not occur until November–December 2007. In this study, the two extreme periods (November 2005 to February 2006 for extreme dry and May-July 2007 for extreme wet) are selected from four data sets based on the following two criteria: (1) their precipitations were either below or above 50% of their corresponding normal precipitations, and (2) the events lasted at least 3–4 months.

[18] Despite the large spatial and temporal differences among four precipitation products, they all captured the HY06 drought and subsequent HY07 pluvial. Figure 1 also demonstrates that the four precipitation products and their anomalies agree well in both magnitude and sign. This agreement is very encouraging considering that these measurements are made independently by different instruments and the mean precipitations are averaged over different grid boxes and handled by different groups. The good agreement in precipitation between the ARM SCF observations and other three data sets indicates that the point ARM SCF observations can represent a large grid box of observations, at least, up to the size of a 5° grid box during the studied periods. This result is consistent with those of the cloud fraction comparisons at the ARM SGP site [Xi et al., 2010; Kennedy et al., 2010].

[19] To further investigate the severity and spatial variability of precipitation, we present annual state precipitation anomalies observed by the Oklahoma Mesonet for HY06 and HY07 in Figure 2. As shown in Figure 2, the annual



**Figure 1.** (a) Monthly mean PDSI over Oklahoma state. (b) Monthly accumulated precipitations measured at the Department of Energy ARM SCF site, over the entire state measured by the Oklahoma Mesonet system and over a  $5^{\circ} \times 5^{\circ}$  grid box ( $32.5^{\circ}-37.5^{\circ}N$ ,  $100^{\circ}-95^{\circ}W$ ) derived from GPCP and TRMM observations. (c and d) Same as Figure 1b but for the monthly anomaly values and percentages (relative to corresponding averages for the period 1997–2007 except for TRMM from 1998 to 2007).

state precipitation in HY06 is 31.6 cm below normal, while in HY07 it is 25.1 cm above the 11 year averaged state precipitation. Oklahoma state experienced statewide drought conditions with a precipitation deficit (>40 cm) over its eastern region during HY06 and pluvial conditions with a precipitation surplus (>40 cm) over its central region during HY07. Therefore, the brief answer to question 1 is that HY06 and HY07 are indeed significant climatic dry and wet years, respectively. Their severities and ranks are listed in Table 1.

### **3.2.** How Do Large-Scale Dynamics Play a Role in These Extreme Events?

[20] *Rauber et al.* [2008] discussed the causes of droughts and floods and the role of large-scale dynamics played in

controlling these extremes. They found that droughts are normally associated with persistent large-scale flow anomalies, such as those in the subtropical high-pressure system, jet stream, and upper level waves. On the other hand, floods, especially flash floods, are often associated with short time scale features, such as frontal squall lines and MCSs. To demonstrate the impact of the large-scale dynamical processes on the HY06 and HY07, especially for the two extreme periods, we plot Figures 3 and 4 using the NCEP reanalysis data set.

[21] Figure 3 illustrates the means and anomalies (relative to corresponding averages for the period 1979–2007) of 500 hPa geopotential height (GH) during the extreme dry and wet periods. The most prominent feature in Figure 3a is a strong ridge over the Rocky Mountains and a trough over



**Figure 2.** Annual Oklahoma state precipitation anomalies (relative to the 11 year mean from 1997 to 2007) for HY06 (top; October 2005 to September 2009) and HY07 (bottom; October 2006 to September 2007).

the Great Lakes. Figure 3c shows a dipole pattern in which an anomalous high is centered over the southwestern United States and an anomalous low is over the Great Lakes. This anomalous pattern is favorable for the movement of dry air from Canada southward into the central United States and restrains the transport of low-level moisture from the Gulf of Mexico. In other words, the northward transport of moist air from the Gulf of Mexico is inhibited. Large-scale vertical motion of air is also a major factor in the occurrence of precipitation where ascending air over a large region favors precipitation and descending air suppresses precipitation. The descending air is adiabatically compressed, which increases the temperature (decreasing RH) and static stability of the atmosphere. The increased static stability and the decreased RH tend to suppress precipitation and lead to drought (A. L. McNab and T. R. Karl, Climate and droughts, 2003, available at http://geochange.er.usgs.gov/ sw/changes/natural/drought/). The patterns in Figures 3a and 3c are associated with a stronger sinking motion over the SGP relative to the climatology and contribute to the extreme dryness. The extreme dry period ended during spring 2006 when the large-scale flow pattern over the western United States returned to normal (data not shown).

[22] For the extreme wet period, Figure 3d illustrates the anomalous high over the northern central United States. This anomaly is associated with a strong ridge over the midwestern United States and is typically indicative of dry conditions there. South of this ridge, several anomalous lows exist. Inspection of daily synoptic charts revealed that the anomalous low over the Texas/Oklahoma region was associated with the passage of numerous short-wave troughs in the lee of the Rocky Mountains and a persistent upper level low. These patterns were associated with rising motion and were conducive to thunderstorm development. Thus, the precipitation events during the extreme wet period were initially generated by active weather patterns and enhanced by the mesoscale convective systems. Local evaporation and feedback processes may help maintain the persistent extremes and enhance their severities, as will be discussed in section 3.3.

[23] The 925 hPa RH means and anomalies during the extreme dry and wet periods are plotted in Figure 4. The RH mean over the SGP during the extreme dry period is about 50%–60% (Figure 4a), which is about 10% below the corresponding climatological mean RH (Figure 4c). The largest negative RH anomaly (approximately -20%) is located over



**Figure 3.** The 500 hPa mean GH derived from NCEP reanalysis during (a) the extreme dry period (November 2005 to February 2006) and (b) the extreme wet period (May–July 2007) and (c and d) their anomalies (relative to corresponding averages for the period 1979–2007).

the southwestern United States, which corresponds well with the anomalous high shown in Figure 3c. The RH mean over the SGP during the extreme wet period is 80% (Figure 4b), which is approximately 10%–20% above the corresponding climatological mean RH (Figure 4d). The region covered by positive RH anomalies (Figure 4d) is much smaller than that covered by negative RH anomalies (Figure 4c), which indicates that the dry area is much larger than the wet area.

[24] As illustrated in Figures 3 and 4, the large-scale dynamical patterns were the major factors that lead to persistent drought during the extreme dry period. The precipitation events during the extreme wet period, however, were initially generated by active weather patterns and enhanced by frequent convection. These scattered thunderstorms appeared to enhance and deepen the upper level low and induce surface low pressure toward the end of June through diabatic processes. As a result, more thunderstorms ensued, and heavy precipitation events persisted for 1 week at the end of June. The total of Oklahoma state mean precipitation during the extreme wet period is approximately 50 cm, including 17 multiple organized convective events and 34 scattered thunderstorms.

## **3.3.** To What Extent Are the Severities of the Drought and Flood Affected by Cloud and Surface Energy Feedback?

[25] In previous sections, we have demonstrated that HY06 and HY07 are indeed under significant drought and pluvial conditions, respectively, and dominated by large-scale dynamic patterns. However, it is unclear to what extent these extreme events are associated with the seasonal variations of cloud properties and surface energy and affected by cloud and surface energy feedback. Furthermore, what phase relationships exist between the cloud and surface properties? For variables that have either leading or lagging relationships to each other, what does this imply about maintaining and reinforcing drought and pluvial conditions?

[26] To answer these questions, we present the monthly means (Figures 5 and 6) of CF, cumulus cloud thickness



**Figure 4.** Same as Figure 3, except for the 925 hPa RH (a and b) means and (c and d) anomalies during the extreme dry and wet periods.

(contiguous clouds, cloud base <3 km, and cloud top >6 km), cloud LWP, atmospheric PWV, precipitation, net radiation, SH, LH, and T<sub>air</sub> at the ARM SCF during the HY06, HY07, and 11 year climatological periods. To investigate the phase relationships among the variables, we list their correlations (in phase, 1 month lead, and 1 month lag) in Tables 3a and 3b based on their monthly means and anomalies, respectively, during the period October 2005 to September 2007. Finally, we discuss to what extent the extreme events are enhanced by the cloud and surface energy feedback at the end of this section.

[27] As illustrated in Figure 5, the CFs in HY06 and HY07 are 0.056 lower and 0.032 higher than the 11 year mean, respectively. The CFs during the two extreme periods are 0.138 below and 0.203 above their corresponding 11 year averages, respectively. The average Cu cloud thickness in HY06 is about 0.44 km thinner than the 11 year mean and is 0.93 km thinner for the extreme dry period. The average Cu cloud thickness in HY07, however, is 1.32 km thicker than the 11 year mean and is 1.946 km thicker for the extreme wet period. The monthly mean LWPs during HY06 are consis-

tently lower than the 11 year means, and the annual average LWP in HY06 is only 43% of the 11 year mean LWP. While the average LWP in HY07 is slightly larger than the 11 year mean, the average LWP during the extreme wet period is double the 11 year average (628 versus 306 g m<sup>-2</sup>). The total precipitation is 2.32 cm (versus 21.68 cm of 11 year average) during the extreme dry period and is 58 cm (versus 32 cm of 11 year average) for the extreme wet period. The precipitation has moderate correlations with CF and PWV (0.6 and 0.49) and relatively high correlations with Cu thickness and LWP (0.74 and 0.844). As listed in Table 3a, these correlations are significant at a 99% confidence interval (CL) except for PWV at a 95% confidence level.

[28] Figures 5d, 6a, and 6d demonstrate that there are strong seasonal variations in atmospheric PWV, net radiation, and  $T_{air}$  that increase monotonically from winter to summer from 11 year averages. More solar radiation absorbed by the ground during summer results in increased  $T_{air}$  and atmospheric PWV, which is supported by the high correlations between  $T_{air}$  and net radiation (0.893) and PWV (0.916) in Table 3a. The seasonal variation of SH mirrors the variations



**Figure 5.** Monthly means of (a) cloud fraction and (b) Cu cloud thickness (contiguous clouds, cloud base <3 km, and cloud top >6 km) derived from ARM radar-lidar observations, (c) LWP and (d) atmospheric column PWV retrieved from microwave radiometer, and (e) surface precipitation measured from rain gauge during HY06, HY07, and 11 year periods at the ARM SCF.

in PWV, net radiation, and  $T_{air}$  (correlations of -0.681, -0.851, and -0.723), but it peaks (negative values represent that the ground is warmer than the air above and heat is transferred upward into the air) 1 month earlier (in June) than those of PWV, net radiation, and  $T_{air}$ . This result suggests that most of the net radiation (129.5/163.5 = 79.2%) was transferred upward into the air from the ground in June. These correlations are significant at a 99% confidence interval. There is also seasonal variation in LH, but it is not as strong as other variables. The LH values are comparable to the SH values from late fall to early spring but are much smaller than the SH values from late spring to early fall (30% LH versus 70% SH).

[29] Surface air temperature  $T_{air}$  is determined by the sum of the net radiative (SW and LW fluxes) and nonradiative (SH and LH, ground heat is much smaller than SH and LH) fluxes [*Wild et al.*, 2004]. Although the sum of annual mean SH, LH, and net radiation is nearly zero (+1.6 Wm<sup>-2</sup>) during

the 11 year period, the sums of their monthly means are negative from October to February (the ground lost energy) and positive from April to September (the ground gained energy). This is consistent with the seasonal variation of  $T_{air}$ with a minimum of 276 K in January-February, and a maximum of 300 K in July-August, as shown in Figure 6d. The sum of annual mean SH, LH, and net radiation is nearly balanced (-2.1 Wm<sup>-2</sup>) in HY06, while it is -9.1 Wm<sup>-2</sup> in HY07, indicating that the ground lost more energy during HY07. During the extreme wet period, the averaged net radiation, SH, and LH are 141.4, -127.2, and -30.2 Wm<sup>-2</sup> (the sum is  $-16 \text{ Wm}^{-2}$ ), respectively, while their corresponding averages during the 11 year period are 161.4, -118.6, and -32.1 Wm<sup>-2</sup> (the sum is 10.7 Wm<sup>-2</sup>). The 26.7  $Wm^{-2}$  energy loss results in a -1.1 K T<sub>air</sub> decrease. The SW flux absorbed at the surface is 29  $Wm^{-2}$  lower than its corresponding average because of more CF, LWP, and precipitation.



**Figure 6.** Monthly means of (a) net radiation, (b) sensible heat flux, (c) latent heat flux, and (d) surface air temperature ( $T_{air}$ ) during HY06, HY07, and 11 year periods measured by the ARM SCF energy balance Bowen ratio system.

[30] As listed in Table 3a, the correlations between precipitation and cloud properties for phase differences of 1 month lead and lag are much smaller than those for the same month, indicating that precipitation and cloud properties are, generally, in phase. However, there are some phase delays between surface properties and atmospheric PWV as reflected by their higher correlations for 1 month earlier/late than those in the same month. For example, the correlations between net radiation/SH and  $T_{air}$  in 1 month late and between net radiation/SH and  $T_{air}$  in 1 month earlier are higher than those in the same month, suggesting that the net radiation and SH in the previous month play a key role for the following month of atmospheric PWV and surface air temperature. Generally, monthly temperature change lags that of net radiation, especially solar radiation, about 1 month.

[31] The correlations calculated from monthly means may represent both the seasonal variations of variables and the relationships among the variables. The correlations calculated from the monthly anomalies that removed the seasonal variations may represent the true relationships and are provided in Table 3b. Comparing the two tables, we find that the correlations between precipitation and cloud property anomalies are close to or slightly lower than those from monthly means, and the correlations between precipitation and PWV anomalies are higher. This comparison demonstrates that there are indeed some relationships between the precipitation and cloud properties from October 2005 to September 2007 with CL >99%. For the surface property anomalies, most of their correlations are much lower than those from monthly means with CL <95%, indicating that these variables basically follow the seasonal variations. We also calculated the correlations from the 11 year monthly anomalies (data not shown) and found that their correlations and confidence levels are much lower than those from the 2 year period. This comparison suggests that the relationships among the variables and feedback processes during HY06 and HY07 were much stronger than those from the 11 year period.

<sup>a</sup>Same as Table 3a footnotes.

|   |                             | -0.224                             | .298<br>0.228<br>.769<br>.062<br>.620<br>\$ -0.381  | and 99%   |                                    | 3 -0.173                 | 9 -0.247<br>5 -0.206<br>-0.375<br>-0.279<br>.182<br>0.077<br>1 -0.132  |
|---|-----------------------------|------------------------------------|---|---|------------------------------------|--------------------------|--|
| ctober 2005 to September 2007)          | $\mathrm{T}_{\mathrm{air}}$ | -0.041 0.053                       | $\begin{array}{c} 0.509 \ 0.563 \ 0.0199 \563 \ 0.018 \ 0.199 \563 \ 0.018 \ 0.199 \563 \ 0.238 \ 0.470 \ 0.388 \ 0.470 \ 0.383 \ 0.953 \ 0.953 \ 0.953 \ 0.953 \ 0.455 \6.823 $ | in 95% (bold) ;   | ;;<br>[-                           | -0.066 -0.378            | -0.202 -0.529<br>-0.152 -0.465<br>0.135 -0.279<br>0.105 -0.471<br>0.106 -0.471<br>0.257 0.358 0<br>-0.023 0.063<br>-0.022 -0.123<br>1  |
|   | ΓН                          | 0.209 0.282 -0.270                 | -0.091 -0.001 -0.177<br>0.083 0.043 -0.071<br>-0.435 -0.288 -0.391<br>0.036 0.041 -0.174<br>-0.442 -0.438 -0.258<br>0.039 0.344 0.171<br>1  | e. These correlations are   | ΗΊ                                 | 0.092 0.305 -0.376       | 0.091 0.288 -0.110<br>0.038 0.192 -0.122<br>0.034 0. <b>385</b> -0.302<br>0.190 0.351 -0.195<br>0.003 -0.427 0.169<br>-0.565 0.108 -0.174  |
|   | HS                          | -0.218 -0.347 0.215                | $\begin{array}{c} -0.658 & -0.620 & -0.554 \\ -0.273 & -0.499 & -0.098 \\ -0.681 & -0.395 & -0.834 \\ -0.513 & -0.636 & -0.418 \\ -0.851 & -0.664 & -0.838 \\ 1 \end{array}$  | nd 1 month late is rever  | HS                                 | -0.117 -0.019 0.248      | $\begin{array}{c} -0.117 & -0.142 & -0.230\\ -0.139 & -0.319 & -0.292\\ -0.141 & -0.228 & -0.038\\ -0.061 & -0.310 & -0.306\\ -0.212 & 0.016 & 0.229\\ 1\end{array}$   |
|   | Net_Rad                     | 0.043 0.292 -0.077                 | 0.665 0.627 0.464<br>0.223 0.444 -0.032<br>0.844 0.533 0.924<br>0.520 0.597 0.301<br>1  | ieters are in February, a   | Net Rad                            | -0.465 -0.347 -0.285     | <b>-0.615</b> -0.322 - <b>0.458</b><br><b>-0.460</b> -0.316 -0.246<br>-0.118 -0.121 -0.187<br>-0.424 -0.118 -0.208<br>1  |
|   | Precipitation               | 8 0.600 0.441 0.198                | 0.740 0.463 0.495<br>0.807 0.507 0.273<br>0.490 0.104 0.503<br>1  | uary and other param  | Precinitation                      | 0.575 0.167 0.381        | 0.650 0.423 0.307<br>0.782 0.508 0.386<br>0.637 0.204 0.109<br>1   |
|   | PWV                         | 0.085 0.117 -0.14                  | 0.139 0.340 -0.136<br>0.139 0.340 -0.13<br>1  | means that CF in Ja   | D September 2007)                  | <b>0.529</b> 0.174 0.262 | <b>0.544 0.408</b> 0.163<br><b>0.384 0.438</b> 0.214<br>1  |
|   | LWP                         | 0 0.715 0.362 0.417                | <b>0.639</b> 0.272 <b>0.652</b><br>1  | ionth, 1 month carlier  | es (October 2005 to<br>LWP         | 0.495 0.058 0.498        | <b>0.683 0.432 0.647</b><br>1  |
| 1000 100 100 100 100 100 100 100 100 10 | $Cu_{\Delta Z}$             | 0.464 0.539 0.22                   | _   | c).   | 1000 Anomali<br>Ch                 | 0.568 0.482 0.508        | _  |
| ns of N                                 | CF                          | ly 1                               |   | and itali<br>on.  | ons of A<br>CF                     | y 1                      |  |
| Table 3a. Correlatic                    | Parameter                   | CF, phase <sup>a</sup> 1 month ear | LWP<br>LWP<br>PWV<br>Precipitation<br>Net_Rad <sup>b</sup><br>SH<br>LH<br>T <sub>air</sub>  | <sup>a</sup> In-phase means the<br>confidence levels (bold<br><sup>b</sup> Net_Rad, net radiati | Table 3b. Correlation<br>Parameter | CF, phase 1 month earl   | 1 month late<br>$L_{WP}$<br>$L_{WP}$<br>$P_{WV}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$<br>$P_{WC}$ |

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**Figure 7.** (top) Monthly anomalies (relative to the averages during the period 1998–2007) of raining (red), low (blue), high (green), and total (black) cloud fractions derived from TRMM observations over the broader region of anomalous precipitation  $(33^\circ-38^\circ\text{N} \text{ and } 100^\circ-95^\circ\text{W})$ . (bottom) Corresponding anomalies in net, SW, and LW heating rate profiles. The labels on the abscissa consist of the first letter of the month followed by the last digit of the year and run from October 2005 (O5) through December 2007 (D7).

[32] Radiative flux anomalies derived from TRMM observations tend to agree very well with those presented in Figure 6. The HERB data set can therefore be used to extend the localized SGP measurements to the larger domain from 33°-38°N and 95°-100°W encompassing the area of strongest precipitation anomalies in Figure 2. These data are used to explore the response of atmospheric radiative heating rates (>0 for heating and <0 for cooling) to drought/ flood events in the SGP region in Figure 7. Monthly anomalies from October 2005 through December 2007 (relative to the averages for 1998-2007) of raining, low, high, and total cloud fractions, as well as the net, SW, and LW heating profile anomalies over the broader SGP region, suggest that, similar to their precipitation counterpart, fewer clouds occurred over the SGP during HY06 whereas clouds were more prevalent than normal in subsequent HY07.

[33] During the extreme wet period, increased high clouds led to a marked increase in SW heating from 6 to 12 km and a reduction in SW radiation that reached lower levels relative to the 11 year mean for the region, consistent with SW fluxes measured at the ARM SCF. This reduction offsets the increased SW heating caused by increased low-level clouds. In the meantime, increased high clouds lead to enhanced LW heating at cloud base and LW cooling near cloud top. The increased downward LW heating warms low and middle clouds and offsets the increased LW cooling attributed to the increased low and middle clouds. Therefore, the overall net (SW+LW) effect caused by the increased clouds during the extreme wet period is the heating of the atmosphere from the surface up to 10 km.

[34] Although not as strong as the extreme wet period, an opposite signature was found during the 2006 drought period with reduced SW heating aloft, increased SW heating near the surface, and anomalously strong LW cooling from the surface to 6 km (allowing more emission from the lower atmosphere to escape to space) with a net effect of cooling the atmosphere. Thus, changes in cloudiness act to decrease atmospheric stability locally during the wet period while stabilizing the atmosphere during the dry period. While it is very unlikely that these local effects play a first-order role in the persistence of droughts or floods, they have the potential to impact future precipitation development in the region in a way that would tend to reinforce existing drought or pluvial conditions.

[35] In Figures 5–7, we have demonstrated that there are strong seasonal variations in atmospheric PWV, net radiation, SH, and  $T_{air}$  and that precipitation is positively correlated with CF, cumulus cloud thickness, and LWP. However, it is unclear to what extent the extreme events are enhanced by the cloud and surface energy feedback during the two extreme periods. Based on the previous studies [e.g., *Rauber et al.*, 2008], the feedback is normally positive, i.e., reinforcing or enhancing an existing drought or flood event, or making dry areas drier and wet areas wetter. In this study, we have found two possible positive feedback processes during the extreme dry and wet periods based on the ARM SCF observations.

[36] During the extreme dry period, more net radiation (compared to 11 year mean) was absorbed by the ground  $(+4.1 \text{ Wm}^{-2})$ , which resulted from less clouds (-0.138), cloud LWP ( $-193 \text{ g m}^{-2}$ ), and precipitation (-4.59 cm), as well as thinner Cu cloud thickness (-0.929 km). The absorbed net radiation by the ground is mostly (LH = -27.9 versus 11 year mean =  $-23.3 \text{ Wm}^{-2}$ ; 62.3% versus 55.2% for the 11 year mean) used to evaporate water from the ground. Because of the favorite large-scale dynamic conditions, the evaporated moisture was removed from the dry region by low-level divergence, as demonstrated in Figure 3c, and weakly southward low-level jet (see section 3.4). Thus, with less precipitation during the extreme dry period and removed atmospheric moisture, more absorbed incoming solar radiation was used to increase T<sub>air</sub> (0.92 K) and to make the ground drier. As T<sub>air</sub> increases and water vapor decreases, the thermal thickness of the atmosphere will increase and enhance the existing upper air ridge. A stronger ridge may then aid in deflecting low-pressure systems away from the drought-stricken region and prolong the drought. Understanding to what extent the ridge is enhanced by this local feedback, however, is currently impossible to do with observations alone. Modeling work must be conducted to verify and quantify the suggested feedback mechanisms. These observational results are consistent with such a positive feedback mechanism, which provides a physical basis for interpreting the observed tendency of a drought to "feed upon itself" as mentioned by *Rauber et al.* [2008].

[37] During the extreme wet period, more precipitation (+8.66 cm) is strongly associated with increased PWV (+0.426 cm), CF (+0.203), cloud LWP  $(+322 \text{ g m}^{-2})$ , and thicker Cu cloud thickness (+1.946 km), but with decreased net radiation  $(-20 \text{ Wm}^{-2})$  and surface temperature (-1.11 K). The averaged sum of net radiation, SH, and LH is  $-16 \text{ Wm}^{-2}$ , indicating that more heat (SH) is transferred upward from the ground to warm the lower atmosphere. This result is consistent with the positive heating rate anomalies of the atmosphere during the extreme wet period, as illustrated in Figure 7. The averaged LH value during the extreme wet period is nearly the same as that of the 11 year period, varying from below (more negative) to above (less negative) the 11 year averages for the period of May to July. With more precipitation in May 2007, more surface energy is transferred upward as evaporation, which is one of the reasons of increased PWV. This process could make local convection much easier and result in more precipitation later on (in June).

[38] However, this positive feedback process is not as straightforward as the extreme dry period (a persistent and abnormal moisture deficiency over a particular area) because one heavy precipitation event could destroy the previous balance. The increased PWV may be attributed to local evaporation (LH values) and moisture transports, especially from the Gulf of Mexico by the LLJ. As shown in Table 3a, the atmospheric PWV has a strong positive correlation with net radiation (0.844) and moderate negative correlations with SH and LH fluxes (-0.681 and -0.435); however, PWV lags the net radiation and SH per month as demonstrated in their higher correlations (0.924 and -0.834). This makes physical sense because increased net radiation results in high temperature and SH and thereafter leads to the increases in saturated vapor pressure of the atmosphere. Later on, when local meteorological conditions transfer to state-favorable rainfalls like the extreme wet events, the atmospheric moisture and local convection increase, which enhances the precipitation.

[39] Although the drought and pluvial conditions are dominated by large-scale dynamic patterns, we have found two possible positive feedback processes during the extreme dry and wet periods in this study that play certain roles to maintain and reinforce the length and severity of existing drought and flood events. More detailed modeling studies and extensive analyses of multiple events would be required to validate these feedback processes and further understand their maintenance mechanisms. In the meantime, moisture transport from the Gulf of Mexico by LLJ and the western Pacific (WP) teleconnection pattern are still likely the most important factors governing the length and severity of the SGP droughts and/or floods.

# 3.4. How Are These Extreme Events Linked to the Moisture Transport From the Gulf of Mexico and Cyclonic Activity?

[40] As discussed in section 3.3, the PWV over the ARM SCF may be attributed to both local evaporation and

moisture transport from the Gulf of Mexico by LLJ. To demonstrate the relationships between these extreme events and moisture transport from the Gulf of Mexico, we show a time series of the meridional component of the vertically integrated moisture transport from the Gulf of Mexico (positive for northward) and the 900 hPa mean meridional wind over the greater SGP (SGPLLJ) during HY06, HY07, and the 9 year period (Figure 8). The moisture transport averaged over 97°-90°W across 28°N in the Gulf of Mexico was derived from the surface wind vector from NASA QuikSCAT, NOAA cloud drift winds, and integrated water vapor from SSM/I, using a statistical model [Xie et al., 2008]. The 900 hPa mean meridional wind over the greater SGP ( $2^{\circ} \times 2.5^{\circ}$ ) was derived from NARR because the Great Plains low-level jet (GPLJJ) is well known for its importance of northward moisture transport from the Gulf of Mexico and provides both the thermodynamic and dynamic environment to aid in precipitation formation over the Great Plains. Although the LLJ is typically nocturnal, its strength and frequency are large enough for it to manifest itself during the spring and summer on a monthly scale [Stensrud, 1996]. Weaver and Nigam [2008] found that GPLJJ variability can be reasonably characterized by the averaged 900 hPa meridional wind from NARR reanalysis.

[41] As illustrated in Figure 8, there are strong seasonal variations in both 9 year averaged moisture transport from the Gulf of Mexico and SGPLLJ, i.e., increasing from October–December to the following May–June. These seasonal variations correlate well with the 11 year averaged ARM SCF precipitation (Figure 5e), especially for SGPLLJ (0.764). These seasonal variations also correlate with the ARM SCF PWV (Figure 3d), but the correlation is relatively low (0.38) and the ARM SCF PWV peaks around July–August, indicating more local evaporation contributed to the ARM SCF PWV during this period.

[42] Figure 8 also shows that while there is substantial month-to-month variability, on average, there is less moisture transport and weaker SGPLLJ in HY06 than the averages of 1999–2007. During the extreme dry period, both averaged moisture transport and SGPLLJ are much lower than their corresponding 9 year averages, which results in significantly less precipitation over the SGP as shown in Figures 1 and 5e. While the impact of the averaged moisture transport and SGPLLJ on precipitation may not be important by themselves, their integrated effect on precipitation over the SGP is significant (the driest season over Oklahoma) during this period. The peak (March-April) and dip (June) in both moisture transport and SGPLLJ also associate well with the ARM SCF precipitation and PWV, but their impact on precipitation is not as large as that during the extreme dry period. This suggests that other factors, such as local evaporation and convection, may play an important role over the SGP during spring and summer seasons.

[43] For HY07, there are relatively large seasonal variations in both moisture transport from the Gulf of Mexico and SGPLLJ. When both moisture transport and SGPLLJ are in phase, they correlate with the ARM SCF precipitation and PWV very well, such as peaks in March and June and a dip in April. Otherwise, their contribution to the ARM SCF precipitation is not significant, such as the period October 2006 to February 2007. To further explore the contribution of 900 hPa mean meridional wind to the SGP precipitation,



**Figure 8.** Monthly means (and standard deviation for the 9 year period) of the meridional component of the vertically integrated (a) moisture transports from the Gulf of Mexico (positive for northward,  $28^{\circ}$ N,  $97^{\circ}$ – $90^{\circ}$ W) and (b) 900 hPa mean meridional wind over the SGP region (a grid box of  $2^{\circ} \times 2.5^{\circ}$  centered on the ARM SCF) during HY06, HY07, and 1999–2007 periods.

we plot the monthly LLJ means and anomalies during the extreme wet period in Figure 9. For all three months, the GPLJJ means appeared as the ribbon of southerly winds extending from the Gulf of Mexico to the Dakotas with peaks of  $\sim 10 \text{ ms}^{-1}$  in the monthly mean during June. During May (Figure 9d), the LLJ anomaly was slightly stronger  $(2 \text{ ms}^{-1})$  than the average of 1979–2007 over the ARM SCF; however, the moisture source of the Gulf of Mexico was weaker. Instead, the LLJ could be characterized as being shifted north with much stronger anomalies in the northern Great Plains. During June, the LLJ anomaly was also slightly stronger with a dipole of  $\sim 2 \text{ ms}^{-1}$  meridional wind existing over southwest Oklahoma (Figure 9e). This dipole pattern is in agreement with the persistent lowpressure system over the SGP region during this month as discussed at the end of section 3.2. For July (Figures 9c and 9f), both GPLJJ mean and anomaly were weaker with negative anomalies on the order of -1 to -2 ms<sup>-1</sup> throughout the SGP region.

[44] Based on the results of Figures 8 and 9, we draw the following conclusion for moisture transport from May to July 2007. During May, the LLJ was primarily strongest over the northern Great Plains, and its moisture transport from the Gulf of Mexico to the SGP is below normal (Figure 8a). For July, the transported moisture is near or just below normal at the SGP because of a weaker LLJ, despite high values of moisture transport at 28°N. During June, however, the transported moisture is higher than normal because of the strong LLJ and/or persistent low-pressure system. This conclusion provides a strong support to the findings of Figures 5 and 6 at the ARM SCF, such as the heaviest precipitation during June 2007.

[45] The extreme dry period is characterized by a large precipitation deficit during the 2005–2006 winter months (Table 1). Since the SGP winter precipitation is typically associated with the passage of extratropical cyclones [Rauber et al., 2008], it is important to examine the anomalous activity of these synoptic-scale, precipitationproducing systems during winter months. Understanding the connection between this anomalous cyclonic activity and large-scale teleconnection patterns helps to infer potential predictability of winter hydrological extremes over the SGP region. Here we quantified the cyclonic activity in 30 winters (November-February 1979/1980-2008/2009) by computing the accumulated daily negative 300 hPa GH anomalies (i.e., 300 hPa short-wave troughs) based on the NASA MERRA data. The "feature tracking" method of Hoskins and Hodges [2002] was adopted to detect and track the synoptic-scale GH anomalies over 6 h intervals.

[46] Figure 10a shows the correlation between the monthly cyclonic activity over the continental United States and the precipitation over a grid box of  $30^{\circ}$ – $40^{\circ}$ N and  $105^{\circ}$ – $95^{\circ}$ W representing the broader SGP region during the period November–February 1979/1980–2008/2009 (note that the sign of precipitation was reversed in the calculation to reflect the drought condition). The winter precipitation deficit over the SGP is clearly linked to significantly suppressed cyclonic activity (i.e., negative anomalies) over the southwestern United States. This result is consistent with a winter cyclone's westward tilt with height, thus the fact that the surface precipitation zone tends to be located to the east of the upper level (300 hPa) trough. The suppressed cyclonic activity (Figure 10b) and positive 500 hPa GH anomalies (Figure 3c) over the southwestern United States



**Figure 9.** Monthly mean 900 hPa meridional wind (LLJ) from NARR for (a) May, (b) June, and (c) July. (d–f) Monthly anomalies (relative to corresponding averages for 1979–2007).

have demonstrated that large-scale flow anomalies play a key role in leading to the extreme dry period.

[47] To find out what teleconnection patterns can modulate the cyclonic activity over the southwestern United States and therefore drive the winter precipitation variability in the SGP, we first defined a Cyclonic Activity Index (CAI) by integrating anomalies of the cyclonic activity over  $30^{\circ}$ – $37^{\circ}N$  and  $120^{\circ}$ – $100^{\circ}W$  representing the southwestern United States. The correlation coefficients between this index and the Northern Hemisphere 500 hPa GH are given



**Figure 10.** (a) Correlation between the monthly cyclonic activity over the continental United States and the precipitation (from the MERRA precipitation) over a grid box of  $30^{\circ}$ – $40^{\circ}$ N and  $105^{\circ}$ – $95^{\circ}$ W during the period November to February 1979/1980–2008/2009 (sign of the precipitation is reversed to reflect the drought condition). (b) Anomalies of the cyclonic activity during the extreme dry period (November 2005 to February 2006) relative to the 1979/1980–2008/2009 climatology (color shadings in meters per day). Thick (thin) contours in Figure 10a correspond to the 99% (95%) level of statistical significance.

in Figure 11a, where enhanced cyclonic activity in winter is generally associated with positive (negative) height anomalies over the western Pacific regions south (north) of Japan and with negative height anomalies over the southwestern United States. The suppressed cyclonic activity during the extreme dry period thus corresponds to the exact opposite of the anomalous pattern shown in Figure 11a. This tripleaction-center pattern clearly resembles the loading pattern of the WP teleconnection, a primary low-frequency mode over the North Pacific [*Wallace and Gutzler*, 1981; *Barston and Livezey*, 1987]. In fact, the correlation between the November–February averaged CAI and the WP index is 0.43 and statistically significant at the 99% level (Figure 11c). The southwestern CAI is also slightly correlated with the Pacific-North America (PNA) index on monthly time scales with a correlation coefficient of 0.21 statistically significant at the 95% level (Figure 11b). Since positive phases of WP and PNA are characterized by negative GH anomalies over western North America and the North Pacific, respectively, and such anomalies tend to push westerly jets southward and enhance upper level divergence downstream of the GH anomalies, the positive phases of WP and PNA can contribute to increased cyclonic activity over the Southwest. This is consistent with the positive correlations between the CAI and WP/PNA identified above. Given the linkages between the CAI (therefore, the SGP precipitation) and the WP and PNA index on, respectively, seasonal and monthly time scales, improved understanding and simulation of the WP and PNA variability have strong implications for future



**Figure 11.** Correlation between the (a) November–February averaged 500 hPa GH and the CAI, (b) monthly CAI (blue line) and the corresponding NOAA PNA index (red line) during November–February 1979/1980–2008/2009, and (c) November–February averaged CAI and the corresponding NOAA WP index during November–February 1979/1980–2008/2009. Thick (thin) contours in Figure 11a correspond to the 99% (95%) level of statistical significance. The source of the PNA and WP index is http://www.cpc.noaa.gov/data/teledoc/telecontents.

studies that explore the predictability of the SGP winter hydrological extremes.

#### 4. Summary and Conclusions

[48] In this study, we analyze the comprehensive data sets collected at the ARM SCF site during HY06 and HY07, the two most highly contrasting extreme hydrologic years occurring consecutively in the SGP in history. The tremendous diversity of observations during these two years provides a great opportunity for researchers to investigate the contrast between drought and flood and the transitional mechanisms at the SGP region, which may lead to new insights into the factors that lead to persistent drought and flooding. Through an integrative analysis of observed extremes, we briefly answer the four scientific questions posed in the beginning as follows.

[49] 1. HY06 and HY07 are indeed significant climatological dry and wet years, respectively. The HY06 annual precipitation (over the entire state of Oklahoma) observed by the Oklahoma Mesonet is only 61% of the normal (92.84 cm, average, from 1921 to 2008), and HY06 ranks as the seconddriest year on record since 1921. For the seasonal variation, the state mean precipitation (3.7 cm) during the winter of 2005–2006 is only 27% of the normal, and this winter ranks as the driest season in the record. The HY07 annual precipitation is 21% above the normal, and HY07 ranks as the seventh-wettest year for the entire state and the wettest year for the central region. Summer 2007 is the second-wettest season for the entire state with a total precipitation of 40.8 cm (68% higher than the normal).

[50] 2. Large-scale dynamics play a key role in these extreme events. During the extreme dry period, a dipole pattern in the 500 hPa GH anomaly existed where an anomalous high was over the southwestern U.S. region and an anomalous low was over the Great Lakes. This pattern was associated with inhibited moisture transport from the Gulf of Mexico and strong sinking motion over the SGP, both contributing to the extreme dryness. The precipitation events during the extreme wet period were initially generated by the passages of short-wave troughs in the lee of the Rocky Mountains and a persistent upper low and enhanced by the frequency of thunderstorms and their associated latent heat release.

[51] 3. The ARM SCF observations show that the precipitation has moderate correlations with CF and PWV and relatively high correlations with Cu thickness and LWP. There are strong seasonal variations in atmospheric PWV, net radiation, and  $T_{air}$  where they increase monotonically from winter to summer. The seasonal variation of SH mirrors the variations in PWV, net radiation, and  $T_{air}$ , but it peaks 1 month earlier than those of PWV, net radiation, and  $T_{air}$ . The LH values are comparable to the SH values from late fall to early spring but much smaller than the SH values from late spring to early fall (30% LH versus 70% SH). Generally, precipitation and cloud properties are in phase; however, temperature change lags that of net radiation, especially solar radiation, about 1 month.

[52] Although the drought and pluvial conditions are dominated by large-scale dynamic patterns, we have found two possible positive feedback processes during the extreme dry and wet periods that play certain roles to maintain and reinforce the length and severity of existing drought and flood events. During the extreme dry period, with less clouds, LWP, PWV, precipitation, and thinner Cu cloud thickness, more net radiation was absorbed and used to evaporate water from the ground. The evaporated moisture, however, was removed by low-level divergence. Thus, with less precipitation and removed atmospheric moisture, more absorbed incoming solar radiation was used to increase surface temperature and to make the ground drier. During the extreme wet period, more precipitation is strongly associated with increased CF, LWP, PWV, and thicker Cu cloud thickness, but with decreased net radiation and surface temperature.

[53] 4. The transported moisture from the Gulf of Mexico and the cyclonic activity are certainly important to these extreme events. There were less and more moisture transports during HY06 and HY07, respectively. The droughts during the extreme dry period were associated with more northerly winds, on average, and reduced moisture transport from the Gulf of Mexico. During the extreme wet period, however, the monthly LLJ means and anomalies were much larger, and their values of moisture transport were higher. These results have demonstrated that the precipitation events over the SGP region, especially in June 2007, are definitely linked with strong LLJ and high moisture transport from the Gulf of Mexico. From the synoptic perspective, the winter precipitation deficit over the SGP is clearly linked to significantly suppressed cyclonic activity over the southwestern United States where it is modulated by winter atmospheric low-frequency modes over the Pacific such as the WP and PNA teleconnection patterns.

[54] By contrasting HY06 drought with HY07 flooding and highlighting their major difference in terms of precipitation statistics, cloud properties, surface energy, and largescale flow patterns, this investigation provides an integrated data set for hydrological studies over the U.S. SGP during the period 1997-2007. These observational results can provide constraints and ground truth for modelers to improve their simulations. For example, we use two Weather Research and Forecasting microphysical schemes for a case study with and without grauple, and their simulated precipitations are close to and higher than observations, respectively. Although we have quite successfully answered the posed four questions, many overlying issues still remain. For example, how can we build upon this SGP study and devise observational strategy and a diagnostic tool to explore hydrological extremes in other climatic regions and on different spatial and temporal scales? How can we link the regional extreme events with continental and global scale processes? To what extent are these extremes and processes predictable and on what time scales? Ongoing and future modeling work will lead to more insights into the factors that control the persistence and intensity of droughts and floods and explore the predictability of these extremes over the SGP and other climate regimes.

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